


Please type a plus sign (+) inside this box → 

PTO/SB/05 (03-01)

Approved for release through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 4320-347
First Inventor COTE, Pierre et al.
Title CHEMICAL CLEANING BACKWASH FOR NORMALLY IMMERSED MEMBRANES
Express Mail Label No.

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 39]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 09 / 425,234 and others listed on Application Data Sheet

Prior application information: Examiner R. Popovics

Group / Art Unit: 1723

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

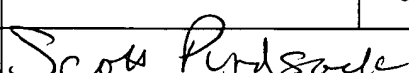
17.  ADDRESS

☒ Customer Number or Bar Code Label

or ☐ Correspondence address below

(Insert Customer Number or Bar Code Label here)

Name	Bereskin & Parr					PATENT TRADEMARK OFFICE	
Address	Box 401						
	40 King Street West						
City	Toronto		State	ON	Zip Code	M5H 3Y2	
Country	CANADA		Telephone	416-364-7311		Fax	416-361-1398

Name (Print/Type)	Scott R. Pundsack	Registration No. (Attorney/Agent)	47,330
Signature		Date	July 26, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

BERESKIN & PARR

BOX 401, 40 KING STREET WEST, TORONTO, ONTARIO CANADA M5H 3Y2
PHONE (416) 364-7311 • FAX (416) 361-1398 • WWW.BERESKINPARR.COM

PTO/SB/17 (11-00)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	n/a
Filing Date	n/a
First Named Inventor	COTE, Pierre et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	4320-347

TOTAL AMOUNT OF PAYMENT (\$)

970.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 022095
- Deposit Account Name Bereskin & Parr
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed: CHECK # 3047
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE	
Large Entity	Small Entity
Fee Code (\$)	Fee Code (\$)
Fee Description	Fee Paid
101 710 201 355	Utility filing fee
106 320 206 160	Design filing fee
107 490 207 245	Plant filing fee
108 710 208 355	Reissue filing fee
114 150 214 75	Provisional filing fee
SUBTOTAL (1) (\$)	
710.00	

2. EXTRA CLAIM FEES	
Extra Claims	Fee from below
Total Claims	Fee Paid
30	-20** = 10 X 18.00 = 180.00
4	-3** = 1 X 80.00 = 80.00
Multiple Dependent	

Large Entity		Small Entity		Fee Description
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	
103 18	203 9			Claims in excess of 20
102 80	202 40			Independent claims in excess of 3
104 270	204 135			Multiple dependent claim, if not paid
109 80	209 40			** Reissue independent claims over original patent
110 18	210 9			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES	
Large Entity	Small Entity
Fee Code (\$)	Fee Code (\$)
Fee Description	Fee Paid
105 130 205 65	Surcharge - late filing fee or oath
127 50 227 25	Surcharge - late provisional filing fee or cover sheet
139 130 139 130	Non-English specification
147 2,520 147 2,520	For filing a request for ex parte reexamination
112 920* 112 920*	Requesting publication of SIR prior to Examiner action
113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action
115 110 215 55	Extension for reply within first month
116 390 216 195	Extension for reply within second month
117 890 217 445	Extension for reply within third month
118 1,390 218 695	Extension for reply within fourth month
128 1,890 228 945	Extension for reply within fifth month
119 310 219 155	Notice of Appeal
120 310 220 155	Filing a brief in support of an appeal
121 270 221 135	Request for oral hearing
138 1,510 138 1,510	Petition to institute a public use proceeding
140 110 240 55	Petition to revive - unavoidable
141 1,240 241 620	Petition to revive - unintentional
142 1,240 242 620	Utility issue fee (or reissue)
143 440 243 220	Design issue fee
144 600 244 300	Plant issue fee
122 130 122 130	Petitions to the Commissioner
123 130 123 130	Petitions related to provisional applications
126 180 126 180	Submission of Information Disclosure Stmt
581 40 581 40	Recording each patent assignment per property (times number of properties)
146 710 246 355	Filing a submission after final rejection (37 CFR § 1.129(a))
149 710 249 355	For each additional invention to be examined (37 CFR § 1.129(b))
179 710 279 355	Request for Continued Examination (RCE)
169 900 169 900	Request for expedited examination of a design application
Other fee (specify) _____	

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0.00

SUBMITTED BY

Name (Print/Type)	Scott R. Pundsack	Registration No. (Attorney/Agent)	47,330	Telephone	(416) 364-7311
Signature	<i>Scott Pundsack</i>	Date	July 26, 2001		

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